REPORTS INDEX

Audits, Inspections, and Evaluations

1998-Present



DEPARTMENT OF COMMERCE OFFICE OF INSPECTOR GENERAL

MAY 2006

Sorted by Bureau/Issue Date

CONTENTS

BUREAU

BIS	Bureau of Industry and Security	2
EDA	Economic Development Administration.	3
ESA	Economics and Statistics Administration	4
ESA-Census	Economics and Statistics Administration.	4
ITA	International Trade Administration.	7
MBDA	Minority Business Development Agency	9
NOAA	National Oceanic and Atmospheric Administration.	10
NTIA	National Telecommunications and Information Administration	15
OIG	Office of Inspector General.	16
OS	Office of the Secretary	16
TA	Technology Administration (NIST and NTIS)	17
USPTO	United States Patent and Trademark Office	22



II II	Ineligible for release or not considered for public release *Cleared with redactions						
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE		
BIS	Inspection	IPE-17935	Annual Follow-up Report on Previous Export Control Recommendations, as Mandated by the National Defense Authorization Act for Fiscal Year 2000	03/30/06			
BIS	Inspection	IPE-17500	U.S. Dual-Use Export Controls for China Need to Be Strengthened	03/30/06	05/12/06		
BIS	Inspection	IPE-17361	Annual Follow-up Report on Previous Export Controls Recommendations, as Mandated by the National Defense Authorization Act for Fiscal Year 2000, As Amended	03/31/05			
BIS	Financial Audit	FSC-9859	Financial Statements for FY 1997	03/19/98	03/27/98		
BIS	Financial Audit	FSC-10864	Financial Statements for FY 1998	03/01/99	05/26/99		
BIS	Inspection	IPE-11488	Export Licensing Process for Dual-Use Commodities and Munitions	06/18/99	06/23/99		
BIS	Evaluation	OSE-12551	Year 2000 Preparations Are Effect 1929 Bull Passerional Risk Mitigation is Needed	12/30/99	04/07/00		
BIS	Financial Audit	FSD-11883	Financial Statements for FY 1999	03/01/00	08/24/00		
BIS	Inspection	IPE-12454-1	Export Controls for the Transfer of Sensitive Technologies to Countries of Concern	03/24/00	03/24/00		
BIS	Performance Audit	FSD-12847	Performance Measures Reporting	09/29/00	10/19/00		
BIS	Inspection	IPE-13313	Nonproliferation Export Control Cooperation Program Allegations	02/27/01	06/25/01		
BIS	Financial Audit	FSD-12848	Financial Statements for FY 2000	03/01/01	03/27/01		
BIS	Inspection	IPE-13744	Commerce Control List and Related Processes	03/20/01	03/22/01		
BIS	Inspection	IPE-14246-1	Follow-up on Previous Export Control Recommendations	03/30/01	04/05/01		
BIS	Inspection	IPE-14246-2	Annual Follow-Up Report on Previous Export Control Recommendations, as Mandated by the National Defense Authorization Act for Fiscal year 2000	09/15/01			
BIS	Inspection	IPE-14270	BXA Needs to Strengthen its ECASS Modernization Efforts to Ensure Long- Term Success of the Project	02/12/02	02/13/02		



In In	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT Number	= TP ##ding clearance	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
BIS	Inspection	IPE-14977	Interagency Review of Federal Automated Export Licensing Systems	04/05/02				
BIS	Inspection	IPE-15290	Annual Follow-Up Report on Previous Export Control Recommendations, Mandated by the National Defense Authorization Act for Fiscal Year 2000, as Amended	09/30/02	10/28/02			
BIS	Inspection	IPE-15155-1	Stronger Management Controls Are Needed for BIS' Export Enforcement Firearms Program	03/14/03				
BIS	Inspection	IPE-15155-3	Memo on CIA-Export Enforcement Issues	03/17/03				
BIS	Inspection	IPE-15155	Improvements Are Needed to Better Enforce Dual-Use Export Control Laws	03/31/03				
BIS	Inspection	IPE-15155-2	Administrative Matters Concerning BIS' Export Enforcement	06/04/03				
BIS	Inspection	IPE-16176	Deemed Export Controls May Not Stop the Transfer of Sensitive Technology to Foreign Nationals in the U.S.	03/31/04	04/16/04			
BIS	Inspection	IPE-16178	Annual Follow-Up Report on Previous Export Control Recommendations, as Mandated by the National Defense Authorization Act for Fiscal Year 2000	03/31/04				
BIS	Inspection	IPE-16177	Interagency Review of Foreign National Access to Export-Controlled Technology in the United States	04/16/04				
BIS	Inspection	IPE-16946	The export Licensing Process for Chemical and Biological Commodities Is Generally Working Well, But Some Issues Need Resolution	03/31/05	04/26/05*			
EDA	Financial Audit	FSC-9861	Financial Statements for FY 1997	03/31/98	04/17/98			
EDA	Performance Audit	ATL-8989	Hurricane Andrew Disaster Assistance	09/30/98	11/05/98			
EDA	Performance Audit	DEN-8772	Midwest Flood Program	09/30/98	11/04/98			
EDA	Performance Audit	DEN-9806	Defense Adjustment Assistance Program	01/26/99	02/05/99			
EDA	Financial Audit	FSD-10997	Financial Statements for FY 1998	03/01/99	06/18/99			
EDA	Performance Audit	BTD-11548	Discretionary Funding—Research and Evaluation Program	09/18/99	10/18/99			
EDA	Financial Audit	FSD-11885	Financial Statements for FY 1999	03/01/00	03/13/00			
EDA	Performance Audit	DEN-11580	Discretionary Funding—Public Works, Technical Assistance, and Other	12/21/00	05/02/01			



In In	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	= TP erfding clearance	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
			Economic Development Assistance Programs					
EDA	Financial Audit	FSD-12851(1)	Financial Management Systems General Controls, FY 2000	01/15/01				
EDA	Performance Audit	STL-14322	Trade Adjustment Assistance Centers' Internal Controls Over Consultant Services Needs Strengthening	09/28/01	10/16/01			
EDA	Quality Control Reviews	DEN-16853-4- 0001	Ted Willets CPA for the Audit of Southern Iowa Council of Governments for the year ended September 30, 2002	09/22/04				
EDA	Quality Control Reviews	DEN-16854-4- 0001	Peak & Gerdes, LLP for the Audit of Area 15 Regional Planning Commission for the year ended June 30, 2003	09/22/04				
EDA	Quality Control Reviews	DEN-16870-4- 0001	Carlson & Cottrell, CPAs PLC, Audit of South Western Oklahoma Development Authority for the year ended September 30, 2003	09/30/04				
EDA	Quality Control Reviews	DEN-16871-4- 0001	Becky Fleming, C.P.A., Inc., Audit of Central Oklahoma Economic Development District for the year ended June 30, 2003	09/30/04				
ESA	Financial Audit	FSC-10113	BEA and ESA Combined Financial Statements for FY 1997	03/19/98	04/15/98			
ESA	Financial Audit	FSC-10863	BEA and ESA Combined Financial Statements for FY 1998	03/01/99	06/14/99			
ESA	Financial Audit	FSD-11886	BEA and ESA Combined Financial Statements for FY 1999	03/15/00				
ESA	Performance Audit	FSD-16824-1	Some Improvements Are Needed in the Handling of Reimbursable Agreements and the Sale of Products and Services	03/31/05	04/15/05			
ESA-Census	Performance Audit	OIG-17524	Valuable Learning Opportunities Were Missed in the 2006 Test of Address Canvassing	03/31/06	05/01/06			
ESA-Census	Performance Audit	ATL-10316	Bankcard Purchases, Atlanta Regional Office	03/23/98	04/28/98			
ESA-Census	Financial Audit	FSC-9860	Census Bureau Financial Statements for FY 1997	03/31/98	04/15/98			
ESA-Census	Performance Audit	EAD-9608	2000 Decennial Address-Building Program	03/31/98	04/09/98			
ESA-Census	Evaluation	OSE-10773	Computer Security for Transmission of Sensitive Data Should Be Strengthened	09/28/98				



Ineligible for release or not considered for public release *Cleared with redactions						
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	= TP ArEding clearance	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE	
ESA-Census	Inspection	IPE-10753	2000 Decennial Dress Rehearsal—Menominee Indian Reservation, WI	09/30/98	10/15/98	
ESA-Census	Inspection	IPE-10756	2000 Decennial Local Update of Census Addresses	09/30/98	10/16/98	
ESA-Census	Performance Audit	ESD-10783	2000 Decennial Dress Rehearsal—Columbia, SC, Programmatic Issues	09/30/98	10/15/98	
ESA-Census	Performance Audit	ATL-11050	2000 Decennial Dress Rehearsal—Columbia, SC, Personnel Administration	09/30/98	10/15/98	
ESA-Census	Performance Audit	ESD-10784(1)	2000 Decennial Dress Rehearsal—Sacramento, CA, Programmatic Issues	09/30/98	10/16/98	
ESA-Census	Performance Audit	STL-11052	2000 Decennial Dress Rehearsal—Sacramento, CA, Personnel Administration	09/30/98	10/16/98	
ESA-Census	Performance Audit	ESD-10784(2)	2000 Decennial Quality Check Survey Independence	09/30/98	10/15/98	
ESA-Census	Evaluation	OSE-10846	Data Capture System 2000 Requirements and Testing Caused Dress Rehearsal Problems	01/29/99	02/08/99	
ESA-Census	Performance Audit	DEN-11030	Bankcard Purchases, Denver Regional Office	02/01/99	02/04/99	
ESA-Census	Financial Audit	FSC-10865	Census Bureau Financial Statements for FY 1998	03/09/99	06/09/99	
ESA-Census	Inspection	IPE-10523	Interagency Agreements	03/31/99		
ESA-Census	Evaluation	OSE-10711	Improvements Needed in Multiple Response Resolution to Ensure Accurate, Timely Processing for the 2000 Decennial Census	09/13/99	09/16/99	
ESA-Census	Performance Audit	ESD-11449	2000 Decennial Quality Check Survey, Dress Rehearsal Experience	09/13/99	10/14/99	
ESA-Census	Evaluation	OSE-10758	Method for Archiving 2000 Decennial Data and Procedures for Disposing of Questionnaires Should Be Finalized	09/29/99	10/07/99	
ESA-Census	Performance Audit	ESD-11755	2000 Decennial Public Awareness Campaign	09/30/99	10/13/99	
ESA-Census	Performance Audit	ATL-11640	2000 Decennial Payroll Processing—Atlanta Region	12/06/99	12/07/99	
ESA-Census	Evaluation	OSE-12200	Year 2000 Preparations were Effective, but Business Continuity and Contingency Planning Needed Improvement	02/28/00		



In	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT Number	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
ESA-Census	Financial Audit	FSD-11884(2)	Census Bureau Financial Management Systems General Controls, FY 1999	03/01/00	03/13/00			
ESA-Census	Financial Audit	FSD-11884(1)	Census Bureau Financial Statements for FY 1999	03/13/00	03/13/00			
ESA-Census	Performance Audit	ESD-11781	2000 Decennial Accountable Property	03/23/00	03/27/00			
ESA-Census	Evaluation	OSE-11684	PAMS/ADAMS Should Provide Adequate Support for the Decennial Census, but Software Practices Need Improvement	03/31/00	03/13/00			
ESA-Census	Performance Audit	ATL-12556	Federal Audit Clearinghouse Database	07/17/00	07/25/00			
ESA-Census	Performance Audit	DEN-11950	2000 Decennial Warehousing Operations	07/28/00	08/02/00			
ESA-Census	Evaluation	OSE-12376	Telephone Questionnaire Assistance Contract Needs Administration and Surveillance Plan	08/08/00	12/18/00			
ESA-Census	Performance Audit	ESD-12593	2000 Decennial Special Population Engineerations and Questionnaire Assistance Centers	09/07/00				
ESA-Census	Inspection	IPE-11573	2000 Decennial Leasing Operations	09/29/00	03/15/01			
ESA-Census	Inspection	IPE-13212	2000 Decennial Unemployment Compensation	09/29/00	10/23/00			
ESA-Census	Inspection	IPE-12045	Special Census of the Village of Machesney Park, Illinois	09/29/00	10/04/00			
ESA-Census	Performance Audit	ESD-13215	2000 Decennial Re-enumerations at Three Local Census Offices in Florida	09/29/00	10/13/00			
ESA-Census	Evaluation	OSE-12065	A Better Is Needed for Managing the Nation's Master Address File	09/30/00	10/17/00			
ESA-Census	Financial Audit	FSD-12850(1)	Census Bureau Financial Management Systems General Controls, FY 2000	01/15/01				
ESA-Census	Financial Audit	FSD-12850(2)	Census Bureau Financial Statements for FY 2000	03/01/01	03/15/01			
ESA-Census	Evaluation	OSE-13812	Actions to Address the Impact on the Accuracy Coverage & Evaluation— Impact of Suspected Duplicate Persons in the 2000 Decennial Census	03/29/01	03/30/01			
ESA-Census	Evaluation	OSE-12754	Additional Security Measures Needed for Advance Retail Sales Economic Indicator	12/05/01	01/14/01			



In In	Ineligible for release or not considered for public release *Cleared with redactions						
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE		
ESA-Census	Evaluation	OIG-14226	Selected Aspects of Census 2000 Accuracy and Coverage Evaluation Need Improvements Before 2010	03/08/02	06/12/02		
ESA-Census	Evaluation	OIG-14431	Improving Our Measure of America: What Census 2000 Can Teach Us in Planning for 2010	03/29/02	06/18/02		
ESA-Census	Evaluation	OSE-15725	MAF/TIGER Redesign Project Needs Management Improvements to Meet Its Decennial Goals and Cost Objectives	09/30/03	10/20/03		
ESA-Census	Performance Audit	ESD-14911-3	Census Bureau's National Processing Center Needs to Improve Controls Over Government Bills of Lading	09/30/03	11/07/03		
ESA-Census	Performance Audit	FSD-15990-4	Improvements Needed in the Reporting of Performance Measures by the U.S. Census Bureau	03/29/04	07/15/04		
ESA-Census	Evaluation	OSE-16519-2	The Census Bureau Should Redefine Its National-Critical Systems	07/30/04			
ESA-Census	Evaluation	OSE-16519-1	Weaknesses in Census Bureau's Certification and Accreditation Process Leaves Security of Critical Information Systems in Question	09/28/04	10/04/04		
ESA-Census	Evaluation	OIG-16949	Improving Our Measure of America: What the 2004 Census Test Can Teach Us in Planning for the 2010 Decennial Census	09/30/04	09/30/04		
ESA-Census	Performance Audit	FSD-16824-2	Management Controls Over Reimbursable Agreements at the U.S. Census Bureau Need Improvement	03/31/05			
ESA-Census	Inspection	OSE-17368	FDCA Program for 2010 Census Is Progressing, but Key Management and Acquisition Activities Need to Be Completed	08/05/05	08/09/05		
ITA	Inspection	IPE-17546	CS China Generally Performs Well, But Opportunities Exist for Commerce to Better Coordinate Its Multiple China Operations	03/31/06			
ITA	Inspection	IPE-14234	US & FCS Italy's Effectiveness Can be Further Enhanced by Focusing on Management and Program Improvements	03/15/02	03/25/02		
ITA	Inspection	IPE-14282	Trade Agreement Compliance Process Needs Better Coordination Within ITA	03/29/02	04/10/02		
ITA	Inspection	IPE-15370	The Commercial Service Needs to Improve Management of its Operations in Turkey	03/14/03	08/12/04*		



II II	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	= TP #ding clearance	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
ITA	Performance Audit	ESD-15499-3	International Trade Administration's Market Access and Compliance Unit Successfully Recruited for Trade Compliance Positions	03/31/03	04/02/03			
ITA	Inspection	IPE-15804	Generally Sound Operations at Commercial Service Greece Are Compromised by Key Weaknesses	09/30/03	10/07/04*			
ITA	Inspection	IPE-16136	Chicago USEAC Network is Generally Operating Well But Needs to Improve Its Export Success Reporting	02/20/04	05/28/04*			
ITA	Inspection	IPE-16507	Pacific Northwest USEAC Network Generally Operates Well, But Export Success Reports Need More Management Scrutiny	03/31/04	10/07/04*			
ITA	Inspection	IPE-16402	Philadelphia USEAC Network Provides Good Service to Clients, but Oversight and Export Success Reporting Need to be Improved	03/31/04	05/28/04*			
ITA	Inspection	IPE-16728	USEACs Are Meeting Client Needs, But Better Management Oversight Is Needed 1998-Present	09/30/04	12/07/04*			
ITA	Inspection	IPE-16808	CS India: Challenges Remain for a Large and Economically Diverse Post	09/30/04	10/22/04*			
ITA	Inspection	IPE-16952	Import Administration Has Met Most Statutory Deadlines on Antidumping Reviews, But Management Attention Is Needed In Other Areas	03/31/05	06/20/05*			
ITA	Inspection	IPE-17446	Better Management of the Overseas Security Program and Security Funds Is Needed	09/30/05				
ITA	Financial Audit	FSD-12854(2)	Financial Statements for FY 2000	03/01/01	03/27/01			
ITA	Inspection	IPE-13213	On-Line Export Promotion Information and Services	03/01/01	04/27/01			
ITA	Financial Audit	FSD-12854(1)	Financial Management Systems General Controls, FY 2000	01/15/01				
ITA	Inspection	IPE-11844	US&FCS Mexico Requires Better Financial and Administrative Management as It Undertakes an Ambitious Export Promotion Program	01/15/01				
ITA	Inspection	IPE-12708	US&FCS Security for Overseas Posts	08/15/00				



In In	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT Number	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
ITA	Inspection	IPE-12428	US&FCS France: Leadership in Post Management and Administrative Matters Needs to Be Reestablished	03/20/00				
ITA	Inspection	IPE-11798	US&FCS Vietnam Is Fully Operational, But Some Areas Need Improvement	03/11/00	05/11/00			
ITA	Financial Audit	FSD-11887	Financial Statements for FY 1999	03/01/00	08/24/00			
ITA	Inspection	IPE-11330	US&FCS Hong Kong Is a Strong Operation With Minor Management Issues	01/12/00				
ITA	Evaluation	OSE-12550	ITA Y2K Risk of Interrupted Operations Is Low, But Some Improvements Needed in Day One Planning	12/21/99	01/03/00			
ITA	Performance Audit	ATL-10999	Discretionary Funding, Market Development Cooperator Program	12/21/99	01/18/00			
ITA	Inspection	IPE-11433	US&FCS South Africa Post 1998-Present	09/30/99	01/18/00			
ITA	Inspection	IPE-10916	US&FCS Brazil Post	09/28/99	05/08/00*			
ITA	Performance Audit	BTD-10829	US&FCS Foreign Service Personnel Management Issues	09/28/99	10/07/99			
ITA	Performance Audit	ATL-10998	Discretionary Funding, Special American Business Internship Training Program	09/27/99	10/14/99			
ITA	Inspection	IPE-10915	US&FCS China Post	09/24/99	10/05/99			
ITA	Performance Audit	BTD-10957	Discretionary Funding, American Business Center Program	03/26/99	04/12/99			
ITA	Performance Audit	BTD-10594	US&FCS Portugal Post	03/26/99	04/12/99			
ITA	Inspection	IPE-9904	Export Challenges of the 21st Century	03/19/99	03/30/99			
ITA	Performance Audit	BTD-10220	American Institute of Taiwan Commercial Section	03/16/99	09/27/99*			
ITA	Financial Audit	FSC-10866	Financial Statements for FY 1998	03/01/99	06/15/99			
ITA	Performance Audit	BTD-10221	US&FCS South Korea Post	01/29/99				



Ir	Ineligible for release or not considered for public release *Cleared with redactions						
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE		
ITA	Performance Audit	BTD-10588	US&FCS European Union Mission	01/19/99	01/25/99		
ITA	Performance Audit	BTD-10595	US&FCS Belgium Post	12/23/98	01/11/99		
ITA	Inspection	IPE-10752	Interagency and Other Special Agreements	09/30/98	12/15/98		
ITA	Inspection	IPE-11006	US&FCS Dallas USEAC	09/30/98	11/24/98		
ITA	Performance Audit	IAD-10218	US&FCS Japan Post	09/30/98	10/27/98		
ITA	Inspection	IPE-11007	US&FCS Seattle USEAC	09/02/98	12/16/98		
ITA	Performance Audit	IAD-10593	US&FCS Spain Post	07/09/98	07/28/98		
ITA	Performance Audit	IAD-9714	Trade Event Planning and Management 1998 Present	03/31/98	04/29/98		
ITA	Financial Audit	FSC-9862	Financial Statements for FY 1997	03/19/98	03/27/98		
MBDA	Financial Audit	FSD-11888	Financial Statements for FY 1999	03/01/00	08/24/00		
MBDA	Performance Audit	BTD-12022	Northeast Region Bankcard Program	12/17/99	01/04/00		
MBDA	Financial Audit	FSC-10867	Financial Statements for FY 1998	03/01/99	05/25/99		
MBDA	Financial Assistance	ATL-8923	W&R Associates, CT	09/30/98			
MBDA	Performance Audit	BTD-10956	Discretionary Funding: Minority Business Development Center Program	09/30/98	10/05/98		
MBDA	Performance Audit	BTD-10955	Discretionary Funding: Native American Business Development Center Program	09/30/98	10/05/98		
MBDA	Financial Audit	FSC-9863	Financial Statements for FY 1997	03/31/98	04/01/98		
MBDA	Inspection	IPE-10309	Minority Business Opportunity Committee Cooperative Agreements	03/31/98	04/22/98		



I _I	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
NOAA	Performance Audit	OIG-17794	Poor Management Oversight and Ineffective Incentives Leave NPOESS Program Well Over Budget and Behind Schedule	05/09/06	05/11/06			
NOAA	Performance Audit	BSD-17612	Opportunities to Further Enhance Controls Over User Fees	01/09/06				
NOAA	Performance Audit	BSD-17613	Follow-up Audit of Inspection Report OSE-15676, Acquisition of NEXRAD Transition Power Source Marred by Management, Technical, and Contractual Problems	09/30/05				
NOAA	Performance Audit	STL-17242	The NMFS Review Process for the California Central Valley and State Water Projects' Biological Opinion Deviated from the Region's Normal Practice	07/08/05	07/12/05			
NOAA	Performance Audit	BSD-16186	Opportunities to Strengthen Internal 998 MP rissoner Improper Payments	07/08/05	08/04/05			
NOAA	Inspection	IPE-17259	The Northeast River Forecast Center Is Well Managed, But Some Improvements Are Needed	08/01/05	09/13/05			
NOAA	Performance Audit	DEN-16948	Inadequate Support Undercuts Value of Booz Allen Study and Its Recommended Changes to NOAA's Finance and Administration Services	03/07/05	03/18/05			
NOAA	Performance Audit	FSD-15989	Improvements Needed in Reporting of Performance for NOAA Goals— Build Sustainable Fisheries, Recover Protected Species, and Predict and Assess Decadel to Centennial Climate Change	09/07/04				
NOAA	Performance Audit	BSD-16927	Satellite Memorandums of Agreement Should Be Improved By Using New Guidance	03/31/05	04/18/05			
NOAA	Inspection	IPE-16823	Complaints Surrounding the Recent Selection of the Assistant Administrator for Weather Services	04/30/04	05/03/04*			
NOAA	Inspection	IPE-15721	NMFS Observer Programs Should Improve Data Quality, Performance Monitoring, and Outreach Efforts	03/31/04	04/15/04			
NOAA	Performance Audit	STL-15720-4	Property Controls at the National Weather Services Radar Operations Center	03/31/04				



In In	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
			Need Improvement					
NOAA	Inspection	IPE-16138	NOAA Corps Has Improperly Handled Confirmation of Officer Appointments and Promotions	02/27/04	03/09/04			
NOAA	Performance Audit	STL-15753-4	NOAA Needs to Use Appropriate Funding Instruments to Acquire Support Services for the Northwest Fisheries Science Center	01/12/04	06/17/04			
NOAA	Performance Audit	BTD-14852-3	Environmental Technology Laboratory Needs to Improve Internal Controls for Accounting and Funds Management	10/06/03	03/05/04			
NOAA	Performance Audit	BTD-15139-3	Purchase Card Program at the Environmental Technology Laboratory Needs Heightened Monitoring and Strengthened Internal Controls	09/30/03	11/07/03			
NOAA	Performance Audit	FSD-15643-3	Improvements Needed in the Reporting of Performance Measures Related to Goals for Advancing Short-Term Warnings and Implementing Seasonal to Interannual Climate Forecasts	09/30/03	03/08/04			
NOAA	Performance Audit	STD-15750-3	Recent Gear Protocols Should Improve NMFS Groundfish Bottom-Trawl Survey but More Should Be Done	09/30/03	11/19/03			
NOAA	Evaluation	OSE-15676	Acquisition of NEXRAD Transition Power Source Marred by Management, Technical, and Contractual Problems	09/25/03	10/02/03			
NOAA	Evaluation	OSE-15996-1	Stronger Security Controls Needed to Protect NESDIS' Headquarters Local Area Network	09/22/03				
NOAA	Evaluation	OSE-15996-2	Additional Management Attention Needed to Ensure Implementation of Effective Security Controls to Protect NESDIS' Research Data System	09/22/03				
NOAA	Evaluation	OSE-15693	Stronger Security Controls Needed to Protect NMFS Information Technology Systems	09/17/03				
NOAA	Performance Audit	STD-15120-3	Process for reducing the Critical Hydrographic Survey Backlog Lacks Key Management Controls	07/28/03				
NOAA	Performance Audit	FSD-14998-3	Improvements Needed in the Reporting of Performance Measures Related to Promoting Safe Navigation and Sustaining Healthy Coasts	04/18/03	02/20/04			
NOAA	Inspection	IPE-15154	NMFS Should Take a Number of Actions to Strengthen Fisheries Enforcement	03/31/03	04/07/03			
NOAA	Performance Audit	BTD-14972-3	Travel Card Program at National Weather Service Headquarters Needs	03/18/03	04/21/03			



Ineligible for release or not considered for public release *Cleared with redactions								
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
			Additional Management Controls					
NOAA	Performance Audit	STD-14427-3	NOAA's "Corporate-Cost" Process Needs Improvement	03/10/03	03/20/03			
NOAA	Inspection	IPE-14577	NWS Weather Forecast Office Generally Perform Well, but Regional Oversight and Management at Some Offices Need to Be Improved	09/30/02	09/28/04			
NOAA	Performance Audit	BTD-14908-2	Internal Controls for Travel Cards at OAR's Environmental Technology Laboratory Can Be Strengthened	09/30/02	10/08/02			
NOAA	Performance Audit	BTD-14440-2	Northwest Fisheries Science Center Needs Improved Research Management Processes to Better Implement Its Salmon Research Plan	09/30/02	01/09/03			
NOAA	Performance Audit	STD-14428-2	Program for Acquiring Fisheries Research Vessels Needs Stronger Management Controls	06/28/02	10/16/02			
NOAA	Inspection	IPE-14423	Chanhanssen Weather Forecast Offion Generally Provides Effective Forecasts, but Office Management and Regional Oversight Need Improvement	03/29/02	04/18/02			
NOAA	Inspection	IPE-14225	Missoula Weather Forecast Office Generally Provides Quality Service to Its County Warning Area	09/28/01	10/01/01*			
NOAA	Inspection	IPE-13531	San Angelo, TX, Weather Forecast Office	06/28/01	08/10/01*			
NOAA	Inspection	IPE-13531	San Angelo Weather Forecast Office Performs Its Core Responsibilities Well, but Office Management and Regional Oversight Need Improvement	06/28/01	08/10/01			
NOAA	Performance Audit	STD-13440	Electronic Charting Database Cooperative Research and Development Agreement	03/29/01	04/11/01			
NOAA	Performance Audit	ATL-12319	NWS National Data Buoy Center's Technical Services Contract	03/28/01				
NOAA	Financial Audit	FSD-12855(2)	Financial Statements for FY 2000	03/01/01	03/22/01			
NOAA	Financial Audit	FSD-12855(1)	Financial Management Systems General Controls, FY 2000	12/15/00				
NOAA	Inspection	IPE-12661	Raleigh, NC, Weather Forecast Office	09/29/00	05/22/01*			



In In	Ineligible for release or not considered for public release *Cleared with redactions								
OPERATING UNIT	REPORT TYPE	REPORT Number	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE				
NOAA	Performance Audit	DEN-11001	Discretionary Funding, Small Business Innovation Research Program	08/31/00					
NOAA	Performance Audit	STL-12555	NMFS's Northwest Fisheries Science Center Bankcard Program	06/27/00	07/05/00				
NOAA	Performance Audit	STL-10949	Discretionary Funding, OAR's Climate and Atmospheric Research Program	03/31/00					
NOAA	Performance Audit	ATL-11654	Discretionary Funding, OAR's Undersea Research Program	03/31/00	07/10/00				
NOAA	Performance Audit	STD-11881	User Fees	03/30/00	08/10/00				
NOAA	Performance Audit	ATL-11860	NMFS's Southeast Fisheries Science Center Bankcard Program	03/17/00	03/24/00				
NOAA	Financial Audit	FSD-11890(2)	Financial Management Systems General Controls, FY 1999	03/13/00					
NOAA	Financial Audit	FSD-11890(1)	Financial Statements for FY 1999 1998-Present	03/01/00	08/24/00				
NOAA	Performance Audit	ATL-11000	Discretionary Funding, NOS's Coastal Services Center	03/01/00	07/10/00*				
NOAA	Evaluation	OSE-12313	NWS Y2K Risks Are Low, and Agency is Well Prepared	12/06/99	12/09/99				
NOAA	Performance Audit	DEN-11627	Environmental Research Labs Bankcard Program	10/28/99	11/01/99				
NOAA	Performance Audit	STL-10950	Discretionary Funding, NMFS's Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program	09/30/99					
NOAA	Performance Audit	ATL-11655	Discretionary Funding, NMFS's Marine Fisheries Initiative Program	09/30/99	12/09/99				
NOAA	Performance Audit	DEN-11927	Mountain Administrative Support Center Bankcard Program	09/30/99	10/19/99				
NOAA	Performance Audit	STD-10900	Norman, Oklahoma, Planned Consolidation	09/30/99					
NOAA	Evaluation	OSE-12199	NESDIS Y2K Issues Are Low, But Improvements Are Needed	09/29/99	10/07/99				
NOAA	Performance Audit	ATL-11405	Discretionary Funding, NWS's Meteorologic and Hydrologic Modernization Development Program	09/27/99	10/14/99				



In In	Ineligible for release or not considered for public release *Cleared with redactions								
OPERATING UNIT	REPORT TYPE	REPORT Number	= TP Arding clearance	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE				
NOAA	Performance Audit	STD-11484	NMFS Fishermen's Contingency Fund	08/03/99	08/04/99				
NOAA	Evaluation	OSE-10969	Supercomputer Acquisition Had Inadequate Assurance of Funding and Unclear Provisions for Advanced Payments	03/31/99	04/30/99				
NOAA	Inspection	IPE-10417	NWS Interagency and Other Special Agreements	03/31/99					
NOAA	Performance Audit	STL-10951	Discretionary Funding, NMFS's Cooperative Science and Education Program	03/31/99	07/14/99				
NOAA	Performance Audit	STL-10953	Discretionary Funding, NMFS's Habitat Conservation Program	03/31/99	07/14/99				
NOAA	Performance Audit	STL-10952	Discretionary Funding, NMFS's Unallied Management Projects Program	03/31/99	07/14/99				
NOAA	Performance Audit	STL-10947	Discretionary Funding, NMFS's Unallied Science Program	03/31/99	07/14/99				
NOAA	Performance Audit	ATL-11084	Discretionary Funding, NOS's Ocean Resources Conservation and Assessment Program	03/31/99	04/26/99				
NOAA	Performance Audit	ATL-11140	Discretionary Funding, NWS's Hydrologic Research Program	03/31/99	04/24/99				
NOAA	Evaluation	OSE-11103	Proposed NPOESS Preparatory Project Reduces Operational Risk, But Exludes Demonstration of Critical Ozone Suite	03/26/99					
NOAA	Performance Audit	ATL-10944	Discretionary Funding, NESDIS's Research in Remote Sensing of the Earth and Environment Program	03/24/99	04/24/94				
NOAA	Financial Audit	FSC-10869	Financial Statements for FY 1998	03/01/99	07/13/99				
NOAA	Evaluation	OSE-9593	NPOESS Acquisition Well Planned, but Life-cycle Cost Estimates for Critical Sensors Are Overstated	09/30/98					
NOAA	Inspection	IPE-10775	NMFS Interagency and Other Special Agreements	09/30/98	11/02/98				
NOAA	Performance Audit	NAD-9574	Goddard Facility Proposal Presented Cost Reduction Opportunities	09/30/98					
NOAA	Performance Audit	STL-9835	NMFS Office of Law Enforcement	09/30/98	12/15/98				



In In	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
NOAA	Performance Audit	STL-9607	NMFS Seafood Inspection Program Should Be Divested	09/30/98	10/22/98			
NOAA	Performance Audit	STD-10925	NWS Supercomputer Location	09/30/98	10/22/98			
NOAA	Performance Audit	STL-8519	OAR Management Systems	09/30/98	11/15/98			
NOAA	Performance Audit	FSC-10875	Personal Property Balance	09/30/98	11/02/98			
NOAA	Performance Audit	STD-9952	Light Aircraft Fleet Should Be Privatized	08/20/98	09/11/98			
NOAA	Inspection	IPE-10150	Sea Grant College Program Administrative Improvements	07/08/98	07/21/98			
NOAA	Inspection	IPE-10310	OAR Interagency and Other Special Agreements	05/29/98	06/03/98			
NOAA	Financial Audit	FSC-9865	Financial Statements for FY 1997	03/31/98	04/24/98			
NOAA	Performance Audit	STL-10140	1998-Present Interagency Agreements with the Environmental Protection Agency	02/23/98	03/10/98			
NOAA	Inspection	IPE-9255	Severe Storms Forecasting Verification System	01/09/98	11/14/98			
NTIA	Performance Audit	DEN-11928	Table Mountain Research Site Needs Attention	09/28/01				
NTIA	Performance Audit	FSD-12856	Performance Measures Reporting	09/20/00	09/28/00			
NTIA	Financial Audit	FSD-11891	Financial Statements for FY 1999	03/01/00	08/24/00			
NTIA	Performance Audit	BTD-11549	Bankcard Program Internal Controls	09/01/99	09/02/99			
NTIA	Performance Audit	ATL-10945	Discretionary Funding, Public Telecommunications Facilities Program	03/31/99	04/19/99			
NTIA	Performance Audit	ATL-10946	Discretionary Funding, Telecommunications and Information Infrastructure Assistance Program	03/31/99	04/19/99			
NTIA	Financial Audit	FSC-10870	Financial Statements for FY 1998	03/01/99	04/20/99			
NTIA	Financial Audit	FSC-9866	Financial Statements for FY 1997	03/19/98	04/06/98			



II II	Ineligible for release or not considered for public release **Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	= TP ##ding clearance	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
OIG	Internal Quality Reviews	DEN-15928-1	Business and Trade Audits Division, Science and Technology Audits Division, and Economics and Statistics Audits Division	08/11/03				
OIG	Internal Quality Reviews	DEN-15928-4	Seattle Regional Office of Audits	08/11/03				
OIG	Internal Quality Reviews	DEN-15928-3	Atlantic Regional Office of Audits	08/06/03				
OIG	Internal Quality Reviews	DEN-15928-2	Financial Statements Audits Division	08/05/03				
OIG	Internal Quality Reviews	HQA-15928-5	Denver Regional Office of Audits	08/04/03				
os	Performance Audit	FSD-17444	Department of Commerce Has Made Significant Progress, but Additional Opportunities Exist to Improve the Reporting and Utility of Performance Results	03/31/06	04/06/06			
os	Evaluation	IPE-17536	Management of Commerce's Federal Workers' Compensation Program Needs Significant Improvements	03/31/06				
os	Evaluation	IPE-17198	Commerce's Emergency Preparedness Efforts Are Improving, but Additional Management Guidance and Oversight Are Needed	08/19/05				
os	Evaluation	OSE-17131	FY 2005 FISMA Evaluation	10/07/05				
os	Financial Audit	FSD-16697	Assessment of Information Technology Controls Supporting DOC's Financial Management Systems FY 2004 Financial Statement Audit	11/09/04				
os	Financial Audit	FSD-16696	Department of Commerce's FY 2004 Consolidated Financial Statements	11/08/04				
os	Evaluation	OSE-16954	FY 2004 Independent Evaluation of the Department of Commerce's Information Security Program Under the Federal Information Security	10/06/04				



In In	Ineligible for release or not considered for public release **Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
			Management Act for FY 2004					
os	Evaluation	OSE-16513	Information Security in Information Technology Service Contracts Is Improving, But Additional Efforts Are Needed	09/29/04	10/06/04			
os	Evaluation	OSE-16522	Management Attention Is Needed to Assure Adequate Computer Incident Response Capability	09/28/04	10/06/04			
os	Evaluation	OSE-16146	Independent Evaluation of the Department of Commerce's Information Security Program Under the Federal Information Security Management Act	09/26/03	10/11/03			
os	Performance Audit	BTD-14971	Office of the Secretary's Oversight of Its Purchase Card Program Needs Heightened Monitoring and Enforcement	03/28/03	04/21/03			
os	Financial Audit	FSD-15214 (2)	Department of Commerce's Fiscal Years 2002 Consolidated Financial Statements	01/15/03				
os	Financial Audit	FSD-15214 (1)	Review of IT Controls to Support the FY 2002 Consolidated Financial Statement Audit	01/15/03				
os	Inspection	IPE-15131	The Office of Administrative Services Needs Stronger Internal Controls and Management Oversight	10/09/02	01/15/03			
os	Evaluation	OSE-14129	Stronger Management Controls Will Improve Planning and Control of CAMS	09/30/02	12/16/04			
os	Evaluation	OSE-15260	Independent Evaluation of the Department's Information Security Program Under the Government Information Security Reform Act	09/17/02	09/17/02			
os	Evaluation	OSE-14788	Information Security Requirements Need to Be Included in the Department's Information Technology Service Contracts	05/16/02	05/21/02			
os	Evaluation	OSE-14384-1	Independent Evaluation of the Department's Information Security Program Under the Government Information Security Reform Act	10/01/01	10/02/01			
os	Evaluation	OSE-14486	Program for Designating Positions According to Their Risk and Sensitivity Needs To Be Updated and Strengthened	09/28/01	10/16/01			
os	Evaluation	OSE-14086	Improvements Made in CAMS Contract Management but Additional Actions Needed	09/28/01				



In In	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
OS	Performance Audit	FSD-14271	Departmental Fund Management Practices Need Improvement	09/28/01	11/30/01			
os	Inspection	IPE-13934	Implementation of Commerce's Trade Mission Policy Has Strengthened Management of the Missions, but More Improvements Can Be Made	04/11/01	04/12/01			
os	Inspection	IPE-13934	Trade Mission Policy and Management	04/10/01	04/12/01			
os	Evaluation	OSE-14257	Use of Internet "Cookies" and "Web Bugs" on Commerce Web Sites Raises Privacy and Security Concerns	04/02/01	05/02/01			
os	Evaluation	OSE-13573	Additional Focus Needed on Information Technology Security Policy and Oversight	03/30/01	04/13/01			
os	Financial Audit	FSD-12849(2)	Federal Agencies' Centralized Trial-Balance System Data Verification, FY 2000	03/20/01	03/26/01			
os	Financial Audit	FSD-12852(2)	ESA/BEA, MBDA, WCF/S&E, and ELGP combined Financial Statements for FY 2000	03/01/01	03/28/01			
os	Financial Audit	FSD-12849(1)	Department of Commerce's Consolidated Financial Statements for FY 2000	03/01/01	04/15/01			
os	Financial Audit	FSD-12852(1)	Office of Computer Services/FARS General Controls, FY 2000	01/15/01				
os	Inspection	IPE-9460	Interagency and Other Special Agreements—Cross-Cutting Issues	10/19/00	11/03/00			
os	Performance Audit	FSD-12940	Commerce Administrative Management System Software Controls	09/20/00	09/26/00			
os	Performance Audit	ATL-10835	Discretionary Funding Capping Report	09/12/00	10/12/00			
os	Evaluation	OSE-12680	Critical Infrastructure Protection: Early Strides were Made, but Planning and Implementation Have Slowed	08/29/00	03/13/01			
os	Financial Audit	FSD-11911(2)	Federal Agencies' Centralized Trial-Balance System Data Verification, FY 1999	03/24/00	08/24/00			
os	Inspection	IPE-12453	Herbert C. Hoover Building Fire and PCB Accident	03/21/00	03/22/00			



In In	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT Number	= TPH ding clearance	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
os	Financial Audit	FSD-11895	Working Capital Fund/Salaries & Expense Fund Financial Statements for FY 1999	03/01/00	08/24/00			
os	Financial Audit	FSD-11911(1)	Department of Commerce's Consolidated Financial Statements for FY 1999	02/24/00	08/24/00			
os	Financial Audit	FSD-11846	Commerce Administrative Management System Support Center General Controls	02/15/00				
os	Financial Audit	FSD-12196	Office of Computer Services General Controls, FY 1999	02/15/00				
OS	Performance Audit	FSD-11882	Delinquent Debt Management	12/21/99				
os	Performance Audit	BTD-11822	Discretionary Funding, Postsecond	09/18/99	10/18/99			
os	Performance Audit	STD-11600	Natural Disaster Reduction Initiative	07/15/99	07/15/99			
os	Financial Audit	FSD-10899(2)	Department of Commerce FY 1998 Consolidated Financial Statements: Federal Agencies' Centralized Trial-Balance System Data Verification, Agreed-Upon Procedures	06/15/99	06/21/99			
os	Evaluation	OSE-10924	Department is Working to Improve Accuracy of Reporting Y2K Compliance Status	03/31/99	04/12/99			
os	Inspection	IPE-11331	Office of Security Needs to Improve Planning and Procedures as It Reorganizes	03/31/99				
os	Financial Audit	FSC-11029	Franchise Fund FY 1998 Financial Statements	03/15/99				
os	Inspection	IPE-11630	Office of Security Classified Document Tracking System	03/15/99				
os	Financial Audit	FSD-10899(1)	Department of Commerce's Consolidated Financial Statements for FY 1998	03/14/99	07/14/99			



II II	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT Number	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
os	Financial Audit	FSC-10873	Working Capital Fund/Salaries & Expense Fund Financial Statements for FY 1998	03/05/99	06/09/99			
os	Inspection	IPE-10418	Interagency and Other Special Agreements	09/30/98	12/15/98			
os	Performance Audit	FPD-10876	OMB Bulletin 97-01 Implementation	09/28/98	10/05/98			
os	Inspection	IPE-11027	Final Inspection Report on the Commercial Law Development Program	09/15/98				
os	Financial Audit	FSD-9753	Department of Commerce's Consolidated Financial Statements for FY 1997	03/31/98	04/21/98			
os	Financial Audit	FSD-9754	Working Capital Fund/Salaries & Expense Fund Financial Statements for FY 1997	03/19/98	04/03/98			
os	Financial Audit	FSD-10240	Franchise Fund FY 1997 Financial State Peresent	02/15/98	*			
os	Financial Audit	FSD-10021	Office of Computer Services General Controls, FY 1997	02/15/98				
TA	Financial Audit	FSD-12859(2)	TA/NIST/NTIA Combined Financial Statements for FY 2000	03/01/01	03/26/01			
TA	Inspection	IPE-13200	Infrared Spectral Database Cooperative Research and Develop. Agreement	02/23/01	03/02/01			
TA	Financial Audit	FSD-12857(1)	TA Financial Management Systems General Controls, FY 2000	01/15/01				
TA	Performance Audit	DEN-10960	Advanced Technology Program Awards Process Promotes Merit-Based Decisions	03/31/99	06/03/05			
TA	Performance Audit	DEN-10959	Manufacturing Extension Partnership Program Awards Process Promotes Merit-Based Decisions	03/31/99	06/03/05			
TA	Performance Audit	DEN-10962	National Standard Reference Data System Program Awards Process Promotes Merit-Based Decisions	03/31/99				



In In	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT Number	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
TA	Performance Audit	EDD-10268	Superfund Charges to the Environmental Protection Agency (FY 1997)	02/10/98	02/17/98			
TA-NIST	Performance Audit	BSD-16656	NIST's Procurement Practices Have Improved But Additional Challenges Remain	09/30/05				
TA-NIST	Performance Audit	STD-16009-4	NIST's Patent License Agreement Process Needs Improvement	12/18/03	12/22/03			
TA-NIST	Performance Audit	STD-15839-3	Award for Five-Axis Machining Center under RFQ SB 1341-02-Q-0550 Justified but Procurement Process Needs Improvement	09/30/03	10/15/03			
TA-NIST	Evaluation	OSE-15078	Additional Improvements Needed to Strengthen NIST's Information Security Program	09/18/02	09/20/02			
TA-NIST	Performance Audit	FSD-14430	Reporting of Performance Measures Needs Improvement	03/29/02	06/12/02			
TA-NIST	Performance Audit	DEN-11787	Internal Controls Over NIST's Bankcard Program Need Improvement 1998 Present	03/27/01	04/03/01			
TA-NIST	Financial Audit	FSD-12859(1)	NIST Financial Management Systems General Controls, FY 2000	02/15/01				
TA-NIST	Performance Audit	DEN-10958	Measurement and Engineering Research and Standards Program Should Expand Use of Competitive Award Procedures	09/19/00	09/26/00			
TA-NIST	Inspection	IPE-11923	NIST Needs to Reevaluate Plans to Support Its Ballistics Testing Program	04/04/00	04/28/00*			
TA-NIST	Performance Audit	STD-11551	ATP's Management of Intramural Research Can Be Strengthened	03/31/00	02/21/01			
TA-NIST	Performance Audit	STD-11113	ATP Focused Program	03/30/00	04/04/00			
TA-NIST	Financial Audit	FSD-11889(2)	NIST Financial Management Systems General Controls, FY 1999	03/15/00				
TA-NIST	Financial Audit	FSD-11889(1)	NIST Financial Statements for FY 1999	03/01/00	08/24/00			
TA-NIST	Performance Audit	DEN-10961	Discretionary Funding, State Technology Extension Program	09/30/99	10/19/99			
TA-NIST	Financial Audit	FSC-10870	NIST Financial Statements for FY 1998	03/20/99	04/20/99			



In In	Ineligible for release or not considered for public release *Cleared with redactions							
OPERATING UNIT	REPORT TYPE	REPORT Number	= TP #ding clearance	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
TA-NIST	Performance Audit	BTD-11485	Superfund Charges to the Environmental Protection Agency (FY 1998)	01/08/99	01/20/99			
TA-NIST	Inspection	IPE-10854	Policy of Allowing Informal Collaborations with Non-Federal Researchers Requires Additional Controls	09/30/98	12/08/98			
TA-NIST	Financial Audit	FSC-9864	NIST Financial Statements for FY 1997	03/19/98	04/06/98			
TA-NTIS	Financial Audit	FSD-16699-5	Assessment of Information Technology Controls Supporting NTIS' Financial Management Systems	11/09/04				
TA-NTIS	Financial Audit	FSD-16698-5	NTIS' FY 2004 Financial Statements	11/08/04				
TA-NTIS	Financial Audit	FSD-15212-3 (2)	Financial Statements Fiscal Year 2002	01/09/03				
TA-NTIS	Performance Audit	FSD-15100-3	National Technical Information Service's New Business Model	12/31/02	01/08/03			
TA-TA	Financial Audit	FSD-15212 (1)	Improvements Needed in the General Controls Associated with TA' Financial Management Systems	12/30/02				
TA-TA	Financial Audit	FSD-12857(2)	TA Financial Statements for FY 2000	03/01/01	03/22/01			
TA-TA	Financial Audit	FSD-11892(1)	TA Financial Statements for FY 1999	03/24/00	08/24/00			
TA-TA	Financial Audit	FSD-11894	TA Financial Statements for FY 1999	03/24/00	08/24/00			
TA-TA	Financial Audit	FSD-11892(2)	TA Financial Management Systems General Controls, FY 1999	03/15/00				
TA-TA	Inspection	IPE-11021	Interagency and Other Special Agreements	06/18/99	05/22/03			
TA-TA	Financial Audit	FSC-10871	TA Financial Statements for FY 1998	03/17/99	06/17/99			



In In	Ineligible for release or not considered for public release *Cleared with redactions								
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	= TP ErEding clearance	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE				
TA-TA	Financial Audit	FSC-10872	TA Financial Statements for FY 1998	03/08/99	06/08/99				
TA-TA	Performance Audit	STD-10592	Operations Jeopardized by Revenue Shortfall	09/03/98	11/04/98				
TA-TA	Financial Audit	FSC-9867	TA Financial Statements for FY 1997	03/27/98	03/27/98				
TA-TA	Financial Audit	FSC-9868	TA Financial Statements for FY 1997	03/01/98	04/01/98				
USPTO	Evaluation	OSE-17455	Information Security in Contracts Needs Better Enforcement and Oversight	09/30/05					
USPTO	Financial Audit	FSD-16700	USPTO FY 2004 Financial Statement 98-Present	11/08/04					
USPTO	Financial Audit	FSD-16701	Assessment of Information Technology Controls Supporting USPTO's Financial Management Systems	11/09/04					
USPTO	Inspection	IPE-15722	USPTO Should Reassess How Examiner Goals, Performance Appraisal Plans, and the Award System Stimulate and Reward Examiner Production	09/30/04	11/29/04				
USPTO	Inspection	IPE-16268	USPTO's Move to Alexandria, Virginia, Is Ahead of Schedule, But Some Key Issues Need to Be Resolved	09/30/04					
USPTO	Inspection	IPE-16083	OIG Review of Complaints and Concerns Related to USPTO's Handling of Patent Applications	09/30/03	10/15/03				
USPTO	Financial Audit	FSD-15213 (2)	Financial Statements Fiscal Year 2002	01/09/03					
USPTO	Financial Audit	FSD-15213 (1)	Improvements Needed in the General Controls Associated with USPTO's Financial Management Systems	12/30/02					
USPTO	Evaluation	OSE-15250	Independent Evaluation of USPTO's Information Security Program Under the Government Information Security Reform Act	09/17/02	09/17/02				



Ineligible for release or not considered for public release *Cleared with redactions								
OPERATING UNIT	REPORT TYPE	REPORT NUMBER	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
USPTO	Evaluation	OSE-14926	Stronger Management Controls Needed for Patent Application Capture and Review Automated Information System	08/22/02	09/19/02			
USPTO	Evaluation	OSE-14816	Additional Senior Management Attention Needed to Strengthen USPTO's Information Security Program	03/29/02	09/18/02			
USPTO	Performance Audit	BTD-14432	Patent Examiner Hiring Should Be Improved	03/29/02	05/07/02			
USPTO	Performance Audit	FSD-14429	Minor Improvements Needed in Reporting Performance Needs	03/21/02	03/31/02			
USPTO	Evaluation	OSE-14384	Independent Evaluation of the Department's Information Security Program Under the Government Information Security Reform Act	09/01/01	10/02/01			
USPTO	Evaluation	OSE-12679	Search System Problems Being Addressed, but Improvements Needed for Future Systems	04/18/01	04/19/01			
USPTO	Financial Audit	FSD-12858(2)	Financial Statements for FY 2000 1998-Present	03/10/01	05/10/01			
USPTO	Financial Audit	FSD-12858(1)	Financial Management Systems General Controls, FY 2000	12/10/00				
USPTO	Performance Audit	BTD-12830	Office of Human Resources	09/27/00	10/12/00			
USPTO	Performance Audit	BTD-11747	Overseas Protection of Intellectual Property Rights	08/03/00	08/28/00			
USPTO	Financial Audit	FSD-11893(1)	Financial Statements for FY 1999	03/01/00	08/24/00			
USPTO	Financial Audit	FSD-11893(2)	Financial Management Systems General Controls, FY 1999	02/29/00				
USPTO	Evaluation	OSE-11693(2)	Year 2000 Business and Continuity Plan Is Comprehensive, but Additional Risk Mitigation Is Needed	12/22/99	01/07/00			
USPTO	Inspection	IPE-10728	Interagency Agreements	09/30/99	08/02/00			
USPTO	Evaluation	OSE-11693(1)	PTO's Year 2000 Renovations and Test Program Are Effective but Agency Should	09/29/99	10/18/99			



Ineligible for release or not considered for public release *Cleared with redactions								
OPERATING UNIT	REPORT TYPE	REPORT Number	TITLE	FINAL REPORT ISSUE DATE	PUBLIC RELEASE DATE			
			Freeze Changes and Verify Inventory					
USPTO	Performance Audit	BTD-10996	Office of Patent Publications	09/21/99	09/23/99			
USPTO	Performance Audit	BTD-10901	Bankcard Program	03/26/99	04/12/99			
USPTO	Financial Audit	FSD-10898(2)	Financial Management Systems General Controls, FY 1998	03/10/99				
USPTO	Financial Audit	FSD-10898(1)	Financial Statements for FY 1998	03/01/99	06/21/99			
USPTO	Performance Audit	BTD-10628	Board of Patent Appeals and Interferences	09/30/98	11/02/98			
USPTO	Performance Audit	FSD-10782	Cost Accounting System	06/30/98	07/02/98			
USPTO	Performance Audit	BTD-10627	Office of Enrollment and Discipline 998-Present	06/25/98	06/25/98			
USPTO	Inspection	IPE-9724	Space Consolidation Planning	03/27/98	04/03/98			
USPTO	Financial Audit	FSD-9755	Financial Statements for FY 1997	03/20/98	04/03/98			